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Email: registrars@linkmarketservices.com.au Website: www.linkmarketservices.com.au

Postcode

Full Name(s) of Registered Holding

Investor 1
111100101 1

Investor 2

Investor 3

Account Designation (may describe an Individual, a super fund, a trust etc)

Company or Trust in which investment is held – THIS MUST BE COMPLETED

Securityholder Reference Number (SRN) Or Holder Identification Number (HIN)

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FATCA AND CRS TAX RESIDENCY SELF-CERTIFICATION FORM

This certification form is being used to comply with legislation which gives effect to the OECD Common Reporting Standard and the US legislation known as FATCA (Foreign Accounts Tax Compliance Act). Security issuers that are classified as 'Investment Entity Financial Institutions' are required to collect and report to the Australian Taxation Office (ATO) certain information about the tax residency of account holders. The ATO shares this information with the competent authorities of other jurisdictions as a means of countering global tax evasion. For more information, refer to the ATO website: http://www.ato.gov. au; the Organisation for Economic Co-operation and Development (OECD) website: https://www.oecd.org/tax/automatic-exchange/; or the United States Internal Revenue Service (IRS) website: http://www.irs.gov

SECTION 1 – INDIVIDUAL INVESTORS (including Surviving joint holders from question 2C).

1A Is this investment held on behalf of another individual i.e. does the Account Designation include a reference to one or more individuals (e.g. a child or children, an adult or a bankrupt individual) as being the beneficial owner?

No - The account is held solely for the benefit of the registered holders who is/are individuals

Yes - The account is held for the benefit of one or more individuals

In both cases, go to question 1B

1B Is/are the individual/s from question 1A or question 2C a U.S. citizen, OR a tax resident of a country other than Australia?

No - All of the individuals are solely Australian citizens and/or tax residents

Your certification is complete once you sign at section 5

Yes - One or more of the individuals are U.S. citizens, OR is/are tax residents of a foreign country

Go to question 4C

SECTION 2 - ALL OTHER INVESTORS TO COMPLETE THIS SECTION

2A Is this investment held on behalf of an Australian regulated superannuation fund (including a complying SMSF), retirement or pension fund. i.e. does the Account Designation include the super fund, pension or retirement fund name?

No - Go to question 2B

Yes – What is the ABN of the fund?

Your certification is complete once you sign at section 5

2B Is this investment held by a person/s or an entity acting in the capacity of executor or administrator of a deceased estate?

No - Go to question 2C

Yes – Have you previously provided Link Market Services Limited with proof of death such as: a certified copy of the death certificate, a Grant of Probate, or Letters of Administration?

No, I have attached proof of death

Yes, I have previously provided proof of death

What is the name of the deceased person?

Your certification is complete once you sign at section 5

Is this investment held jointly by one or more living individuals or entities (the survivor/s) and one or more deceased persons (the deceased)?

No - Go to question 2D

2C

Yes – Have you previously provided Link Market Services Limited with proof of death such as: a certified copy of the death certificate, a Grant of Probate, or Letters of Administration?

No, I have attached proof of death

Yes, I have previously provided proof of death

What is the name of the deceased person?

2D Is this investment held by a public listed company, or a majority owned subsidiary of a public listed company (other than financial

	Instr	tutions)?								
		No – Go to question 2E								
		Yes - If registered with ASIC, what i	s the company's registration numbe	r?						
	Wha	at is the name of the public listed parent company if a majority owned subsidiary?								
	Wha	it is the name of the market or exchang	e where the company is listed?							
	Wha	at is the company's unique exchange co	ode e.g. ASX Code, ticker code?							
	You	r certification is complete once yo	ou sign at section 5							
2E	Is th trust	is investment held by or on behalf of a ; or partnership etc) as being the bene	n entity i.e. does the Account Design ficial owner/s?	ation include a reference to one or more entities (e.g. a family						
No - the account is held solely for the benefit of the registered holders who is/are entities										
	Yes – the account is held for the benefit of an entity									
	In bo	oth cases, go to section 3 - Entities	S							
Pleas where	e sel e app	ect the option that best describes th licable is best described as: An entity or legal arrangement esta U.S. for tax purposes Go to question 4A Financial Institution (other than a	e entity. Provide the entity's Austra blished under the laws of the United a U.S. financial institution)	eparate sheet with the requested information) lian registration number (e.g. ACN, ABN, ARBN, ARSN) I States (U.S.) or otherwise considered to be a resident of the institution, an Investment Entity, a Specified Insurance Company						
		What is your GIIN?								
		If your entity does not have a GIIN, select the Foreign Financial Institution (FFI) status that most applies:								
		Deemed Compliant Financial Institution	Non-Participating Financial Institution	Excepted Financial Institution						
		Other – please describe:								
		If registered in Australia, what is the	entity's ACN, ABN, ARBN or ARSI	N?						
		Are you an Investment Entity located Note: You can review the Automatic at: https://www.ato.gov.au/General/I	d in a non-CRS participating jurisdic Exchange of Information (AEoI) gui nternational-tax-agreements/In-deta	tion and professionally managed by another financial institution? dance for non-CRS participating jurisdictions on the ATO website ill/International-arrangements/						
		No - Your certification is con	nplete once you sign at section 5	i de la construcción de la constru						
		Yes – What is the non-CRS pa	articipating jurisdiction?							
_		Go to question 4A								
3C		Not a Financial Account								
		If registered in Australia, what is the	entity's ACN, ABN, ARBN or ARSN	/?						
		• An Employee Share Scheme or T	rust as defined in the Income Tax A n connection with a court order or ju	urposes of FATCA and CRS. These include: ssessment Act 1997 dgment, or a sale, exchange, or lease of real or						
		Your certification is complete one	ce you sign at section 5							
3D		Exempt Beneficial Owner								
		If registered in Australia, what is the	entity's ACN, ABN, ARBN or ARSN	?						
		Under FATCA and CRS, an Exempt Australian government organisation Reserve Bank of Australia International (including intergovernment) 	on or agency							
		Your certification is complete one	ce you sign at section 5							
3E		Non-Financial Entity (NFFE/NFE)								
		If registered in Australia, what is the	entity's ACN, ABN, ARBN or ARSN	?						
		A NFE/NFFE includes the following association or club, or a charitable of Go to question 3F	entity types: a company that is NOT rganisation etc.	a financial institution, a partnership, a trust, a co-operative,						

	Is your entity an active or a passive NFFE/NFE?
(i)	Active NFFE/NFE

The entity operates an active trade or business (other than a financial business) earning its income from providing services, or is engaged in producing, manufacturing or selling products or goods. Examples include: listed and regularly traded corporations, service providers, charities, primary producers, central banks and government agencies etc

Go to question 4A

(ii) Passive NFFE/NFE

The entity earns 50% or more of its revenue from investment activities (e.g. rent, dividends, interest or distribution), or 50% or more of the entity's assets are used to generate investment income

Go to question 4A, 4B and 4C

SECTION 4 - TAX RESIDENCY DETAILS

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Entity from question 3A and question 3E/3F

Please provide information about the entity's tax residency

Legal Name of Entity

Entity Street Address

Tax resident of Australia only?

Yes - If the entity is a passive NFFE/NFE, go to question 4B

If the entity is an active NFFE/NFE, go to section 5 - signing requirements

No - Complete foreign tax residency information for every country in which you are a tax resident:

Country 1 Tax Residency		Country 2 Tax Residency	Country 3 Tax Residency
TIN 1	OR	County does not issue TINs OR	TIN is available, but has not been obtained or has not been issued a TIN
TIN 2	OR	County does not issue TINs OR	$TIN\xspace$ is available, but has not been obtained or has not been issued a $TIN\xspace$
TIN 3	OR	County does not issue TINs OR	TIN is available, but has not been obtained or has not been issued a TIN

If TIN is a available, but you have not obtained

or been issued with a TIN, please provide an explanation.

4B Passive NFFE/NFE from question 3F(ii) and question 4A:

Are there any beneficial owners or controlling persons (including those with direct or indirect ownership of 25% or more) of the Passive NFFE/NFE who is a U.S. citizen, OR a resident for tax purposes in a country other than Australia? Controlling persons include the settlor, protector or appointer of a trust. All beneficiaries of a trust are considered to be controlling persons. In relation to a company or other entity, if there are no beneficial owners or controlling persons as described above, then the person who exerts ultimate control over the entity (e.g. has the authority to make financial, policy and operating decisions) is the controlling person.

No - Your certification is complete once you sign at section 5

Yes - Go to question 4C

4C Individuals from question 1B and Beneficial Owners and Controlling Persons from question 4B:

Complete the following information for each individual investor, or each individual beneficial owner or controlling person. If there are more that four individual investors, beneficial owners or controlling persons, please attach a sheet with the required information.

Individual 1: Legal Name of Person			Date of Birth (dd/mm/yyyy) dd/mm/yyyy			
Residential Street Address						
Tax resident of Australia only? Yes - Your certification is complete once you signed at section 5 No - Complete foreign tax residency information for every country in which you are a tax resident:						
Country 1 Tax Residency		Country 2 Tax Residency	Country 3 Tax Residency			
TIN 1	OR	County does not issue TINs OR	TIN is available, but has not been obtained or has not been issued a TIN			
TIN 2	OR	County does not issue TINs OR	TIN is available, but has not been obtained or has not been issued a TIN			
TIN 3	OR	County does not issue TINs OR	TIN is available, but has not been obtained or has not been issued a TIN			
If TIN is a available, but you have						

or been issued with a TIN, please provide an explanation.

3F

Individual 2: Legal Name of Person			Date of Birth (dd/mm/yyyy) dd/mm/yyyy				
Residential Street Address							
Tax resident of Australia only?		tification is complete once you signation for the state of the state o	gned at section 5 revery country in which you are a tax resident:				
Country 1 Tax Residency		Country 2 Tax Residency	Country 3 Tax Residency				
TIN 1	OR	County does not issue TINs OR	TIN is available, but has not been obtained or has not been issued a TIN				
TIN 2	OR	County does not issue TINs OR	TIN is available, but has not been obtained or has not been issued a TIN				
TIN 3	OR	County does not issue TINs OR	TIN is available, but has not been obtained or has not been issued a TIN				
If TIN is a available, but you ha or been issued with a TIN, plea explanation.							
Individual 3: Legal Name of Person			Date of Birth (dd/mm/yyyy) dd/mm/yyyyy				
Residential Street Address							
Tax resident of Australia only?	Yes - Your certification is complete once you signed at section 5						
	No - Complete foreign tax residency information for every country in which you are a tax resident:						
Country 1 Tax Residency		Country 2 Tax Residency	Country 3 Tax Residency				
TIN 1	OR	County does not issue TINs OR	TIN is available, but has not been obtained or has not been issued a TIN				
TIN 2	OR	County does not issue TINs OR	TIN is available, but has not been obtained or has not been issued a TIN				
TIN 3	OR	County does not issue TINs OR	TIN is available, but has not been obtained or has not been issued a TIN				
If TIN is a available, but you ha or been issued with a TIN, plea explanation.							
Individual 4:							
Legal Name of Person			Date of Birth (dd/mm/yyyy)				
Residential Street Address							
Tax resident of Australia only?	Yes - Your certification is complete once you signed at section 5						
	No - Complete foreign tax residency information for every country in which you are a tax resident:						
Country 1 Tax Residency		Country 2 Tax Residency	Country 3 Tax Residency				
TIN 1	OR	County does not issue TINs OR	TIN is available, but has not been obtained or has not been issued a TIN				
TIN 2	OR	County does not issue TINs OR	TIN is available, but has not been obtained or has not been issued a TIN				
TIN 3	OR	County does not issue TINs OR	TIN is available, but has not been obtained or has not been issued a TIN				
If TIN is a available, but you ha or been issued with a TIN, plea explanation.							

SECTION 5 – SIGNING REQUIREMENTS

The signatories declare that the certification provided on this form is correct to the best of his/her knowledge and belief. The signatories further acknowledge that they must re-certify if any of the information disclosed on this form changes or becomes invalid.

Investor/Individual 1 or Entity (Authorised Person)	Investor/Individual 2 or Entity (Authorised Person)	Investor/Individual 3 or Entity (Authorised Person)		
Sole Director and Sole Company Secretary/Director/ Trustee/Partner/Governing Member	Director/Company Secretary/Trustee/Partner/ Governing Member	Date	/	/
Print Name	Print Name	Print Name		

Personal Information Collection Notification Statement: Link Group advises that the *Tax Laws Amendment (Implementation of the FATCA Agreement) Act 2014,* which inserted Division 396–FATCA into Schedule 1 of the Taxation Administration Act 1953 and Tax Laws Amendment (Implementation of the Common Reporting Standard) Act 2016 (Cth) which gave domestic legal effect to the CRS obligations by inserting Division 396–C Common Reporting Standard into the Taxation Administration Act 1953 (Cth), requires that organisations seek information about your residency and/or citizenship status for tax purposes. The personal information and self-certification collected complies with the requirements of the FATCA Intergovernmental Agreement (IGA) entered into between the Australian and United States governments and the OECD requirements relating to the CRS including the guidelines of the ATO. Some or all of your personal information may be disclosed to the Australian Taxation Office (ATO), the U.S. Internal Revenue Service (IRS) or other foreign competent tax authorities, or as required under other Australian law. For further information about Link's privacy policy, visit our website at www.linkmarketservices.com.au