

How to complete the Deceased Estate Transfer / Transmission Application Form

To minimise the risk of fraud, Link has implemented a securityholder verification procedure for all off-market transfers / transmissions of ASX listed securities.

Proof of Identity for all executors/administrators

Evidence will need to be provided with each transfer / transmission form to confirm the identity of the executors/administrators as the current owner of the securities to be transferred / transmitted. The beneficiary(s) of the securities may also be required to provide identification to comply with the Know your Customer (KYC) requirements of the Anti-Money Laundering and Counter-Terrorism Financing Act 2006 (AML/CTF). If you are unsure, please call us on 1300 554 474 for further information.

Identification documents and eVerification (electronic verification of identity)

Link can verify the identity of Australian and New Zealand individuals electronically using government issued identity documents such as passports, driver's licences and Medicare Card. If you consent to your identity being verified electronically, complete the identity documents section on the back of the attached transfer form at Section F. Your Australian identity document details will be submitted electronically to the Australian Attorney General's Document Verification Service (DVS). Your New Zealand identity document details will be submitted electronically to the New Zealand Department of Internal Affairs Confirmation Service other than New Zealand driver's licences which are verified using the AA (NZ Transport Authority).

Please choose either Option 1, 2 or 3. If you select option 2 or 3, send us a certified copy of the applicable identity document(s). Please DO NOT attach original documents as documents will not be returned. Proof of Identity documents will not be held on file and must be provided with each lodgement. If you are unable to provide the requested identity documents, please contact us for a list of alternatives that we can accept.

Option 1 - eVerification of Identity. Please complete section F on the transfer form

Option 2 - Please attach at least 1 document

Primary photographic identity document (Certified)	
Drivers Licence (not expired)	
Australian Passport (that has not expired more than 2 years ago)	
International Travel Document – foreign passport (not expired)	
Australian State or Territory Proof of Age Card or Identity Card (not expired)	
Foreign National Identity Card (not expired)	

Option 3 – Please attach at least 1 primary non-photographic document <u>and</u> 1 secondary non-photographic document. Alternatively, attach 2 Primary non-photographic identity documents

Primary non-photographic identity document (Certified)

Australian Birth Certificate or Extract of Birth including an Abridged Birth Certificate or a NSW Birth Card (please provide full birth certificate for a minor)

Australian Citizenship Certificate

Foreign Citizenship Certificate

Foreign Birth Certificate (please provide full birth certificate for a minor)

A Centrelink Pension card or Centrelink Healthcare card

Secondary non-photographic identity document which contains the person's name and residential street address (Certified)

A financial benefit notice issued by a Commonwealth, State or Territory agency within the last 12 months (benefit information blacked-out)

An income tax assessment notice issued within the last 12 months (TFN, income and tax information blacked-out)

A local government notice (e.g. council rates) or utilities notice (e.g. power, gas or phone bill) issued within the last 3 months

A Medicare or Private Health Insurance refund notice issued within the last 3 months (medical practitioner information blacked-out)

An insurance policy for a house, property, home contents or motor vehicle issued within the last 12 months

A vehicle registration notice or third party insurance notice (e.g. NSW Greenslip) issued within the last 12 months

All foreign language documents must be accompanied by an English translation prepared by an accredited translator

Depending on the type of estate, additional information may be required;

Additional Documents

Individual	Documentation requested above	
Joint Holders	Documentation requested above for each surviving joint holder	

Help

If you need help completing the transfer / transmission application, please contact our office on 1300 554 474.

A. Deceased Estate Details

Full Name of Security Issuer in which securities are held

This is the actual NAME of the Share Company or Trust in which the securities being transferred/sold are held.

Type of Security

This is either: Fully Paid Ordinary Shares, or Options, or Unsecured Convertible Notes, or Units, or Partly Paid Shares, etc.

Note: A separate standard transfer form must be completed for each different class of security and each different registered holding.

Deceased's Securityholder Reference Number (SRN)

The SRN can be found on issuer sponsored holding statements, dividend statements or certificates and starts with the letter "I". The transfer cannot be processed without the deceased's SRN.

Number of Securities to be transferred or sold

The number of securities being transferred (numbers only required).

Consideration (Value of the transfer or sale)

The price paid by the beneficiary to the deceased estate for the securities on the date of transfer/sale, or market value.

Full registered name(s) of the deceased

Enter the given and last name of the deceased as shown on securityholder documents.

Note: If the deceased has a "CHESS HIN", (CHESS HINs usually begin with an X or 0) then please contact the sponsoring broker, as the transfer is unable to be processed by us.

B. Beneficiary Details

Full name(s) of Beneficiary(s)

Enter the legal name of the Individual(s) or Incorporated Entity(s) that is/are acquiring the securities through this transfer. A maximum of three joint holders is permitted. Securities cannot be registered in an unincorporated trading name/business name or in the name of a trust (other than an incorporated trust), a superannuation fund, a minor, an estate or a deceased person's name. You may enter the name of any unincorporated entity or another person such as a minor child in the 'Account Designation' field of section B on the transfer. If transferring into an existing holding you must write the name and address details of the existing holding **exactly** as they currently appear on the register.

Beneficiary's Securityholder Reference Number (SRN)

If the Beneficiary is an existing **issuer sponsored** holder of securities in the company, their SRN may be entered here.

Note: If the Beneficiary has a "CHESS HIN", (CHESS HINs usually begin with an X or 0) then please contact the sponsoring broker.

Full postal address of Beneficiary(s)

Insert full address including the postcode. Only one address may be recorded, irrespective of the number of Beneficiaries.

C. Sign Here

Executor(s)/

Executor(s)/Administrator(s) and Beneficiary(s) Signatures and the Dates MUST be signed, and circle capacity under signatures

,	Administrator(s)	Probate requirements must also be complied with.
b)	Power of attorney of a	To sign as power of attorney (POA), you must have already lodged the Power Of Attorney with the

When the holding is in the name of an estate, all executor(s)/administrator(s) are required to sign.

Beneficiary registry or alternatively attach a certified photocopy of the Power of Attorney to this form.

c) Companies Two Directors OR a Director and Secretary OR Sole Director and Sole Company Secretary. Please

ensure you sign in the appropriate boxes and circle your capacity.

Note: Copies of documents forwarded must be **certified as a correct copy** by a person who under the Statutory Declarations Regulations 2018 has the power to certify documents e.g. JP, doctor, lawyer, accountant, judge etc. Any form or document that does not meet the transfer or identification requirements specified within this form will be returned without processing.

Important Note for transfers of unlisted securities – Stamp Duty

For securities that are **listed** on the Australian Securities Exchange (ASX) – stamp duty is **NOT** payable (unless the date signed is **before** 1 July 2001). For **unlisted** securities – transfer forms for unlisted securities must be submitted to the **Revenue Office** in the State or Territory where the Share Company was incorporated, or where the Trust was established for assessment and payment of stamp duty **before** sending to Link Market Services Limited.

Payment Fee

Where an Issuer's constitution permits securityholders being charged in connection with the registration of off-market transfers either expressly or through an empowering provision, a fee of \$55 (incl GST) is payable for the securityholder verification procedure.

Personal Information Collection Notification Statement: Link Group advises that personal information it holds about you (including your name, address, date of birth and details of the financial assets) is collected by Link Group organisations to administer your investment. Personal information other than date of birth is held on the public register in accordance with Chapter 2C of the Corporations Act 2001. Some or all of your personal information may be disclosed to contracted third parties, or related Link Group companies in Australia and overseas. Your information may also be disclosed to Australian government agencies, law enforcement agencies and regulators, or as required under other Australian law, contract, and court or tribunal order. For further details about our personal information handling practices, including how you may access and correct your personal information and raise privacy concerns, visit our website at www.linkmarketservices.com.au for a copy of the Link Group privacy policy, or contact us by phone on +61 1800 502 355 (free call within Australia) 9am—5pm (Sydney time) Monday to Friday (excluding public holidays) to request a copy.



All Registry communications to: Link Market Services Limited Locked Bag A14 Sydney South NSW 1235 Telephone: 1300 554 474 Website: www.linkmarketservices.com.au

DECEASED ESTATE STANDARD TRANSFER FORM

Α	DECEASED ES	STATE DETAILS (Ple	ase use CAP	ITAL LETTERS)
Full name of the Security Is	suer (Share Company or Trus	t) in which the securities are held		
Type of Security (eg fully pa	aid, partly paid, stapled securi	y, etc)		Number of securities to be transferred/sold
Value of the transfer or sale	, , , , ,			Deceased Securityholder Reference Number
Title Given I	Name(s)		Last Name	
	r(s) day time phone numbe			nistrator(s) Email Address
process may include conta		(s) of the estate. Where verification		o transfer will be subject to verification. This verification to the satisfaction of the Registrar, the transfer may be
В	BENEFICIAR	Y(S) DETAILS (Plea	se use CAPIT	AL LETTERS)
Title Given 1	Name(s) or Company Name	e (please use legal names)	Last Name (pleas	se use legal names)
	· · · · · · · · · · · · · · · · · · ·	c>, <citizen a="" c="" smsf="">, <abc< td=""><td></td><td>e of Jillian Jones A/c> etc)</td></abc<></citizen>		e of Jillian Jones A/c> etc)
Unit Number/Level	Street Number	Street Name		
Suburb/Town Country (if not Australia)			Renefi	State/Territory Post Code / Zip Code ciary Securityholder Reference Number (if applicable)
(ii not ridottalia)			I	Stary Good Hyridion (Colorido Namer (Inappleasio)
С		SIGN HEI	RE	
to the Beneficiary(s), the		nd the Issuer's share registrar t		rities shown above to the Beneficiary(s) and states I representatives of the deceased's estate who are
All Executor(s)/Admir				
Executor 1/Administrator		Executor 2/Administrator		Executor 3/Administrator
Print Name		Print Name		Print Name
All Beneficiary(s) mus Beneficiary 1	et sign	Beneficiary 2		Date://
Print Name	<u> </u>	Print Name		Print Name

D	CHEC	CKLIST		
	Transfer / Transmission form is fully completed, signed and dated Certified copies of proof of identity from either Option 2 or Option 3 (on page 1) are provided or Option 1 eVerification has been selected - complete section F below	Any other additional documents are certified and included Examples include but are not limited to: POA, Guardianship Orders, Grant of Probate or Letters of Administration, Notice of Appointment of Bankruptcy Trustee, Notice of Appointment of Administrator, Receiver or Liquidator of a Company etc.		
E	·	EMENT		
transmi Fax/Em	ssions from the same deceased estate are posted together as one lod	are to be returned to Link by post. Please ensure that all multiple transfers / gement. Send all documentation to Locked Bag A14, Sydney South NSW 1235. ed to be sighted. Only one set of identification documents is required from the		
F	eVERIFICATIO	ON OF IDENTITY		
Option	n 1 – eVerification of Individual executors / Administrators or Au	thorised Representative		
Tick ✓ Below		tor (s) or Authorised Representative, signing this transfer /		
Full Na	ame - executor / administrator 1, or Authorised Representative	Full Name - executor / administrator 2, or Authorised Representative		
Reside	ential Street Address	Residential Street Address		
Suburb	o/Town State/Territory Post Code / Zip Code	Suburb/Town State/Territory Post Code / Zip Code		
Countr	y (if not Australia) Date of Birth DD/MM/YYYY	Country (if not Australia) Date of Birth DD/MM/YYYY		
	agree that my information is checked with the Issuer or Official Record tolder of the identity document selected below	I agree that my information is checked with the Issuer or Official Record Holder of the identity document selected below		
Select Group Select	2 choices	Select 2 choices Group 1 Select at least one option and complete the document details below exactly as shown on the document.		
A by A	ustralian passport ustralian driver's licence issued y a state or territory ustralian citizenship certificate ustralian lmmiCard New Zealand driver's licence New Zealand birth certificate ustralian lmmiCard New Zealand citizenship certificate ustralian birth certificate (must match your name in the register) ee important notes overleaf **	Australian passport Australian driver's licence issued by a state or territory Australian citizenship certificate Australian ImmiCard Australian birth certificate (must match your name in the register) See important notes overleaf**		
	Ferritory	State/Territory of Issue Document Number: e.g. DL number Expiry or Issue Date		
Driver'	S License card number Note: For a drivers licence, please provide both the DL card number and DL number.	Driver's License card number Note: For a drivers licence, please provide both the DL card number and DL number.		
Group Select a shown	2 at least one option and complete the document details below exactly as on the document.	Group 2 Select at least one option and complete the document details below exactly as shown on the document.		
	ledicare card Ref. Nbr:	Medicare card Ref. Nbr:		
	Green Blue Yellow	Green Blue Yellow		
	entrelink Pension Card or Healthcare Card ustralian Electoral Roll (AEC)	Centrelink Pension Card or Healthcare Card Australian Electoral Roll (AEC)		
of Issu	Ferritory Document Number: e e.g. Medicare number Expiry or Issue Date DD / MM / YYYY information	State/Territory Document Number: of Issue e.g. Medicare number Expiry or Issue Date Other information		

If there are more than 2 individuals signing this form, then copy this page and complete Section F for the other individuals.

Identification sources – you may choose one (1) source each from group 1 and group 2 OR you may choose two (2) sources from group 1. We are unable to accept only group 2 sources for eVerification.

Document number means the registration number of the document. This will typically be the driver's licence number, passport number, Medicare card number or birth certificate registration number etc.

** Important notes about birth certificates:

Only A.C.T. birth certificates issued from 1930 onwards can be verified electronically. If you were born in the A.C.T. before 1930 either select a different group 1 identification source or provide a certified copy of your birth certificate and also choose a group 2 identification source. For A.C.T. birth certificates issued between 1930 and May 2002, provide the **registration number** and also the **certificate issue date** e.g. 21 Jan 1963 using the 'Expiry or Issue Date' field. For A.C.T. birth certificates issued after May 2002, provide the **registration number** and **certificate issue date** and also provide the **certificate number** using the 'Other Information' field.

For N.S.W. birth certificates provide the registration number and the registration year e.g. 1952 using the 'Expiry or Issue Date' field.

For N.T. birth certificates provide the **registration number** and the **certificate issue date** e.g. 21 Jan 1963 using the 'Expiry or Issue Date' field <u>and</u> if your N.T. birth certificate was issued from 1999 onwards, also provide the **certificate number** using the 'Other Information' field.

For QLD birth certificates, provide the registration number and the registration date using the 'Expiry or Issue Date field above.

For S.A. birth certificates provide the **registration number** and the **certificate issue date** e.g. 21 Jan 1963 using the 'Expiry or Issue Date' field <u>and</u> also provide the **certificate number** (if any) in the 'Other Information' field. Typically, more recent S.A. birth certificates issued on multi-coloured or light blue paper will have a certificate number.

For TAS birth certificates provide the registration number and the registration year e.g. 1952 using the 'Expiry or Issue Date' field.

For VIC birth certificates provide the registration number and the registration year e.g. 1952 using the 'Expiry or Issue Date' field.

Only W.A. birth certificates issued from 1930 onwards can be verified electronically. If you were born in W.A. before 1930 either select a different group 1 identification source or provide a certified copy of your birth certificate and also choose a group 2 identification source. For W.A. birth certificates issued from 1930 onwards, provide the **registration number** and the **registration year** e.g. 1952 using the 'Expiry or Issue Date' field **and** provide the **registration district** noted on birth certificates typically issued between 1930 and 1983 using the 'Other Information' field.



All Registry communications to:
Link Market Services Limited
Locked Bag A14
Sydney South NSW 1235
Telephone: 1300 554 474

Website: www.linkmarketservices.com.au

OFF MARKET TRANSFER FORM

A PAYMENT OF FEE
A fee of \$55.00 (GST inclusive) applies for each transfer / transmission that requires a securityholder verification procedure. For payments made by cheque, an additional cheque handling fee of \$10 (GST inclusive) will apply. A single fee of \$55.00 (GST inclusive) applies if multiple transfers / transmissions from the same estate (registration details must be an exact match) are received by Link at the same time. Payment options are:
OPTION 1: Pay By Credit Card or Debit Card
Visa MasterCard
No other credit cards will be accepted
Card Number Expiry Date (MM/YY) Name on Card
Signature of Cardholder Total
Or OPTION 2: Pay By Cheque, Money Order or Bank Cheque Cheque/Money Order/ Bank Cheque – payable to Link Market Services Limited in Australian currency A receipt for this payment will be issued to the executor



All Registry communications to: Link Market Services Limited Locked Bag A14 valuey South NSW 1235 Australia

Sydney South NSW 1235 Australia Telephone: 1300 303 991

Facsimile: (02) 9287 0303 Email: registrars@linkmarketservices.com.au Website: www.linkmarketservices.com.au

Company or Trust in which investment is held – THIS MUST BE COMPLETED (The full name of the Share Company or Trust in which the securities being transferred are held)

Full Name(s) of Registered Holding (Enter the given and last names of all securityholder(s) shown on the securityholder documents) **Account Designation** Registered Address (The actual address that is shown on the securityholder documents) Securityholder Reference Number (SRN) **Postcode SECTION 1071B STATEMENT** Please complete this form in BLACK INK using capital letters. Photocopies will not be accepted. Where Probate/Letters of Administration has/have been granted in an Australian State or Territory other than where the securities are registered, a statement in writing as prescribed by Section 1071B of the Corporations Act 2001 must be lodged, together with a transfer/transmission within three months of the statement being made. I/We do solemnly and sincerely declare I am/we are the personal representative(s) namely the executor(s) of the will/administrator(s), to whom Probate/ Letters of Administration has/have been granted for the above deceased estate and which grant has not been revoked. Full name(s) of Executor(s) or Administrator(s) 2. To the best of my/our knowledge, information and belief no grant of representation of the estate has been applied for or made in (Australian State or Territory where the securities are registered) and no application for such a grant will be made. 3. Contact Name Telephone Number (After Hours) Telephone Number (Business Hours) SIGNATURE(S) OF EXECUTOR(S)/ADMINISTRATOR(S) – THIS MUST BE COMPLETED Executor/Administrator (delete one) Executor/Administrator (delete one) Executor/Administrator (delete one) Witness Witness Witness Date The witness(es) certifies that the person(s) who has/have signed this statement is/are known to them and has/have signed in the presence of the witness with their normal signature(s). Executors/Administrators: When the holding is in the name of an Estate, all Executors/Administrators are required to sign. The Corporation Act 2001 imposes severe penalties for making a false statement (\$10,000 or 2 years imprisonment or both) NOTE: Australian or failing to ensure a statement is not false or misleading (\$5,000 or 1 year imprisonment or both). Please complete this statutory declaration in accordance with the laws of the country in which it is declared and ensure it is Overseas legally witnessed.

HOW TO COMPLETE THIS FORM

A Section 1071B Statement

Enter the following in the spaces provided. Complete the full name(s) of all Executor(s)/Administrator(s) and one address.

- 1. The full name(s) of all Executor(s) or Administrator(s).
- 2. The Australian State or Territory where the securities are registered.
- A contact name and telephone number of a person in the event that the registry has a query regarding this form.

Important notice: If the holding is a broker sponsored holding in CHESS, do not send this completed form to Link Market Services Limited. You must contact the sponsoring broker to lodge a Section 1071B Statement.

Signature – All Executor(s)/Administrator(s) must sign in the presence of a witness who is known to them.